

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District Of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HBK MASTER FUND L.P.

Name of Transferee

UBS AG, Stamford Branch

Name of Transferor

Name and Address where notices to  
transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Court Claim # (if known): Claim No. 66302  
(which amends claim no. 10503)

Amount of Proposed Allowed Claim Amount  
to be Transferred: \$787,158.54

Date Claim Filed: February 18, 2010

Debtor: Lehman Brothers Holdings Inc.

Phone: (214) 758-6107

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different from  
above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith

Transferee/Transferee's Agent

Date: July 10, 2013

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Southern District Of New York

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Dallas, TX 75201

Court Claim # (if known): Claim No. 66303  
(which amends claim no. 10504)

Amount of Proposed Allowed Claim Amount  
to be Transferred: \$787,158.54

Date Claim Filed: February 18, 2010

Debtor: Lehman Brothers Special Financing Inc.

Phone: (214) 758-6107

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

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Name of Transferor

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c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Court Claim # (if known): Claim No. 66304  
(which amends claim no. 10505)

Amount of Proposed Allowed Claim Amount  
to be Transferred: \$1,578,000.00

Date Claim Filed: February 18, 2010

Debtor: Lehman Brothers Special Financing Inc.

Phone: (214) 758-6107

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
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Last Four Digits of Acct #: \_\_\_\_\_

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Transferee/Transferee's Agent

Date: July 10, 2013

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Name of Transferee

UBS AG, Stamford Branch

Name of Transferor

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transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Court Claim # (if known): Claim No. 66305  
(which amends claim no. 10502)

Amount of Proposed Allowed Claim Amount  
to be Transferred: \$1,578,000.00

Date Claim Filed: February 18, 2010

Debtor: Lehman Brothers Holdings Inc.

Phone: (214) 758-6107

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different from  
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Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

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By: /s/ J. R. Smith

Transferee/Transferee's Agent

Date: July 10, 2013

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

UBS AG, Stamford Branch ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of a Transfer of Claim Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to UBS AG, Stamford branch, its successors and assigns ("Buyer"), a ratable portion equal to USD 787,158.54 of all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS SPECIAL FINANCING INC. docketed as Claim No. 66303 (the "Claim") (being an amended claim whose original Claim No. was 10504) in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered). For the avoidance of doubt, this transfer relates solely to the claim against Lehman Brothers Special Financing Inc. filed by Seller having the claim number set out above and to no other claim of Seller against Lehman Brothers Special Financing Inc.

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing this transfer and sale of the Claim as an unconditional assignment and sale and Buyer herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect to the Claim to Buyer.

IN WITNESS WHEREOF, dated as of the 5th day of July, 2013.

SELLER:

UBS AG, Stamford Branch,  
BY: UBS Securities LLC, as agent

By:  Lisa Murray  
Name: Associate Director  
Title: Banking Products Services, US

By:   
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Darlene Arias  
Director  
Banking Products Services, US

BUYER:

HBK Master Fund L.P.  
By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: Authorized Signatory

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

UBS AG, Stamford Branch ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged and pursuant to the terms of a Transfer of Claim Agreement dated as of the date hereof, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned to UBS AG, Stamford branch, its successors and assigns ("Buyer"), a ratable portion equal to USD 787,158.54 of all right, title and interest in and to the claims of Seller against LEHMAN BROTHERS SPECIAL FINANCING INC. docketed as Claim No. 66303 (the "Claim") (being an amended claim whose original Claim No. was 10504) in the United States Bankruptcy Court, Southern District of New York, Case No. 08-13555 (JMP) (jointly administered). For the avoidance of doubt, this transfer relates solely to the claim against Lehman Brothers Special Financing Inc. filed by Seller having the claim number set out above and to no other claim of Seller against Lehman Brothers Special Financing Inc.

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IN WITNESS WHEREOF, dated as of the 5th day of July, 2013.

SELLER:

UBS AG, Stamford Branch,  
BY: UBS Securities LLC, as agent

By: \_\_\_\_\_  
Name:  
Title:  
By: \_\_\_\_\_  
Name:  
Title:

BUYER:

HBK Master Fund L.P.  
By: HBK Services LLC  
Investment Advisor

By:   
Name: JAMIEL A. AKHTAR  
Title: Authorized Signatory

**EVIDENCE OF TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York


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IN WITNESS WHEREOF, dated as of the 5th day of July, 2013.

SELLER:

UBS AG, Stamford Branch  
By: UBS Securities LLC, as agent

By:  Lisa Murray  
Name: Associate Director  
Title: Banking Products Services, US

By:   
Name: Darlene Arias  
Title: director  
Banking Products Services, US

BUYER:

HBK Master Fund L.P.  
By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: Authorized Signatory

**EVIDENCE OF TRANSFER OF CLAIM**

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UBS AG, Stamford Branch  
By: UBS Securities LLC, as agent

By: \_\_\_\_\_  
Name:  
Title:

By: \_\_\_\_\_  
Name:  
Title:

BUYER:

HBK Master Fund L.P.  
By: HBK Services LLC  
Investment Advisor

By:   
Name: SAMUEL A. AKHTAR  
Title: Authorized Signatory

**EVIDENCE OF TRANSFER OF CLAIM**

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Name: Associate Director  
Title: Banking Products Services, US

By:   
Name: Darlene Arias  
Title: Director  
Banking Products Services, US

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By: HBK Services LLC  
Investment Advisor

By: \_\_\_\_\_  
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Title: \_\_\_\_\_  
By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

BUYER:

HBK Master Fund L.P.  
By: HBK Services LLC  
Investment Advisor

By:   
Name: J. BAKER GENTRY, JR.  
Title: Authorized Signatory

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Name: Darlene Arias  
Title: Director  
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Title:

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By: HBK Services LLC  
Investment Advisor

By:   
Name: J. BAKER GENTRY JR.  
Title: Authorized Signatory